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www.uspto.gov ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT 040256-0123 09/938.829 08/27/2001 Naoki Ayai

CONFIRMATION NO. 1089

FORMALITIES LETTER ********************* 3000 K Street, N.W., Suite 500

Date Mailed: 10/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27)
- Total additional claim fee(s) for this application is \$888.
 - \$378 for 21 total claims over 20.

The statutory basic filing fee is missing.

- \$240 for 3 independent claims over 3.
- \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1728.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY